

LECTURE - VIII
UNIX PROCESS ENVIRONMENT

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September 25th, 2008

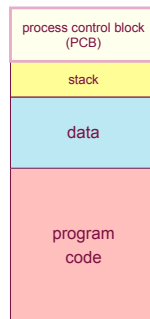
In Today's Class

- Unix Process Environment
 - Process Concept
 - ps -- get process info
 - Shell & its implementation
 - Exec() & Fork()
 - Creation & Termination of Processes

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Process Concept

- An operating system executes a variety of programs:
 - Batch system - jobs
 - Time-shared systems - user programs or tasks
- **Process** - a program in execution; process execution must progress in sequential fashion
- A process includes:
 - program counter
 - stack: temporary data

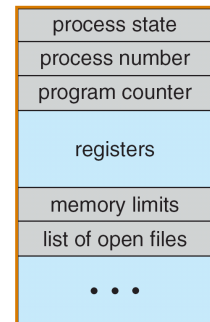


Process in Memory
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Process Control Block (PCB)

Information associated with each process

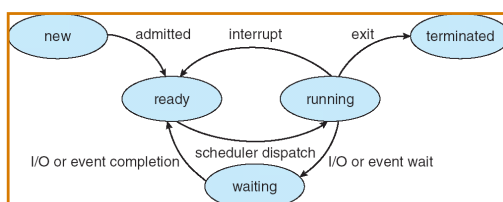
- Process state
 - (running, waiting..)
- Program counter
- CPU registers
- CPU scheduling information
 - (i.e. process priority)
- Memory-management information
 - (i.e. page & segment tables)
- Accounting information
- I/O status information



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Process State

- As a process executes, it changes *state*
 - **new**: The process is being created
 - **ready**: The process is waiting to be assigned to a process
 - **running**: Instructions are being executed
 - **waiting**: The process is waiting for some event to occur
 - **terminated**: The process has finished execution



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\$ ps

PID	TTY	TIME	CMD
18684	pts/4	00:00:00	bash
18705	pts/4	00:00:00	ps

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```
$ ps a
```

PID	TTY	STAT	TIME	COMMAND
6702	tty7	Ss+	15:10	/usr/X11R6/bin/X :0 -audit 0
7024	tty1	Ss+	0:00	/sbin/mingetty --noclear tty1
7025	tty2	Ss+	0:00	/sbin/mingetty tty2
7026	tty3	Ss+	0:00	/sbin/mingetty tty3
7027	tty4	Ss+	0:00	/sbin/mingetty tty4
7028	tty5	Ss+	0:00	/sbin/mingetty tty5
7029	tty6	Ss+	0:00	/sbin/mingetty tty6
17166	pts/6	Ss	0:00	-bash
17191	pts/6	S+	0:00	pico program3.cc
17484	pts/5	Ss+	0:00	-bash
17555	pts/7	Ss+	0:00	-bash
17646	pts/8	Ss	0:00	-bash
17809	pts/10	Ss	0:00	-bash
17962	pts/8	S+	0:00	pico prog2.java
17977	pts/1	Ss	0:00	-bash
18014	pts/9	Ss+	0:00	-bash
18259	pts/10	T	0:00	a.out
18443	pts/2	Ss	0:00	-bash
18511	pts/1	S+	0:00	pico program3.cc
18684	pts/4	Ss	0:00	-bash

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```
$ ps la
```

F	UID	PID	PPID	PRI	NI	VSZ	RSS	WCHAN	STAT	TTY	TIME	COMMAND
4	0	6702	6701	15	0	25416	7204	-	Ss+	tty7	15:10	/usr/X11R6/bin/X :0 -
audit	0	-auth	/var/lib/g									
4	0	7024	1	17	0	3008	4	-	Ss+	tty1	0:00	/sbin/mingetty --
noclear		tty1										
4	0	7025	1	16	0	3008	4	-	Ss+	tty2	0:00	/sbin/mingetty tty2
4	0	7026	1	16	0	3012	4	-	Ss+	tty3	0:00	/sbin/mingetty tty3
4	0	7027	1	17	0	3008	4	-	Ss+	tty4	0:00	/sbin/mingetty tty4
4	0	7028	1	17	0	3008	4	-	Ss+	tty5	0:00	/sbin/mingetty tty5
4	0	7029	1	17	0	3008	4	-	Ss+	tty6	0:00	/sbin/mingetty tty6
0	2317	17166	17165	15	0	9916	2300	wait	Ss	pts/6	0:00	-bash
0	2317	17191	17166	16	0	8688	1264	-	S+	pts/6	0:00	pico program3.cc
0	2238	17484	17483	16	0	9916	2300	-	Ss+	pts/5	0:00	-bash
0	2611	17555	17554	15	0	9912	2292	-	Ss+	pts/7	0:00	-bash
0	2631	17646	17644	16	0	9912	2300	wait	Ss	pts/8	0:00	-bash
0	2211	17809	17808	15	0	9916	2324	wait	Ss	pts/10	0:00	-bash
0	2631	17962	17646	16	0	8688	1340	-	S+	pts/8	0:00	pico prog2.java
0	2320	17977	17976	16	0	9912	2304	wait	Ss	pts/1	0:00	-bash

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```
$ ps -fa
```

UID	PID	PPID	C	STIME	TTY	TIME	CMD
cs1253as	17191	17166	0	10:13	pts/6	00:00:00	pico program3.cc
cs135032	17962	17646	0	10:57	pts/8	00:00:00	pico prog2.java
cs1253av	18511	17977	0	11:20	pts/1	00:00:00	pico program3.cc
15059	19109	18684	0	11:44	pts/4	00:00:00	ps -fa

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```
$ ps -ax
```

PID	TTY	STAT	TIME	COMMAND
1	?	S	0:02	init [5]
2	?	S	0:00	[migration/0]
3	?	SN	0:00	[ksoftirqd/0]
4	?	S	0:00	[migration/1]
5	?	SN	0:01	[ksoftirqd/1]
6	?	S	0:00	[migration/2]
7	?	SN	0:16	[ksoftirqd/2]
8	?	S	0:00	[migration/3]
9	?	SN	0:16	[ksoftirqd/3]
10	?	S<	0:00	[events/0]
11	?	S<	0:00	[events/1]
12	?	S<	0:00	[events/2]
13	?	S<	0:00	[events/3]
14	?	S<	0:00	[khelper]
15	?	S<	0:00	[kthread]
653	?	S<	0:00	[kacpid]
994	?	S<	0:00	[kblockd/0]
995	?	S<	0:00	[kblockd/1]
996	?	S<	0:01	[kblockd/2]
997	?	S<	0:00	[kblockd/3]

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Shell

- A tool for process and program control
- Three main functions
 - Shells run programs
 - Shells manage I/O
 - Shells can be programmed
- Main Loop of a Shell

```
while (!end_of_input){
    get command
    execute command
    wait for command to finish
}
```

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How does a Program run another Program?

- Program calls `execvp`

```
int execvp(const char *file, char *const argv[]);
```

- Kernel loads program from disk into the process
- Kernel copies arglist into the process
- Kernel calls `main(argc,argv)`

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Exec Family

```
int execl(const char *path, const char *arg, ...);

int execlp(const char *file, const char *arg, ...);

int execl_e(const char *path, const char *arg, ...,
             char * const envp[]);

int execv(const char *path, char *const argv[]);

int execvp(const char *file, char *const argv[]);
```

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Running “ls -l”

```
#include <unistd.h>
#include <stdio.h>

main()
{
    char *arglist[3];

    arglist[0] = "ls";
    arglist[1] = "-l";
    arglist[2] = 0;

    printf(" * * * About to exec ls -l\n");
    execvp( "ls", arglist );
    printf(" * * * ls is done. bye\n");
}
```

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execvp is like a Brain Transplant

- execvp loads the new program into the current process, replacing the code and data of that process!

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Writing a Shell v1.0

```
int main()
{
    char *arglist[MAXARGS+1]; /* an array of ptrs */
    int numargs; /* index into array */
    char argbuf[ARGLEN]; /* read stuff here */
    char *makestring(); /* malloc etc */

    numargs = 0;
    while ( numargs < MAXARGS )
    {
        printf("Arg[%d]? ", numargs);
        if ( fgets(argbuf, ARGLEN, stdin) && *argbuf != '\n' )
            arglist[numargs++] = makestring(argbuf);
        else
        {
            if ( numargs > 0 ) { /* any args? */
                arglist[numargs]=NULL; /* close list */
                execute( arglist ); /* do it */
                numargs = 0; /* and reset */
            }
        }
    }
    return 0;
}
```

```
#include <stdio.h>
#include <signal.h>
#include <string.h>

#define MAXARGS 20
#define ARGLEN 100
```

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Writing a Shell v1.0 (cont.)

```
int execute( char *arglist[] )
{
    execvp(arglist[0], arglist); /* do it */
    perror("execvp failed");
    exit(1);
}

char * makestring( char *buf )
{
    char *cp, *malloc();

    buf[strlen(buf)-1] = '\0'; /* trim newline */
    cp = malloc( strlen(buf)+1 ); /* get memory */
    if ( cp == NULL ) { /* or die */
        fprintf(stderr, "no memory\n");
        exit(1);
    }
    strcpy(cp, buf); /* copy chars */
    return cp; /* return ptr */
}
```

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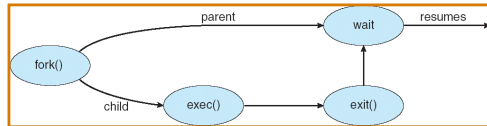
How to Create a New Process?

- Parent process create children processes, which, in turn create other processes, forming a tree of processes
- Resource sharing
 - Parent and children share all resources
 - Children share subset of parent's resources
 - Parent and child share no resources
- Execution
 - Parent and children execute concurrently
 - Parent waits until children terminate

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Process Creation (Cont.)

- Address space
 - Child duplicate of parent
 - Child has a program loaded into it
- UNIX examples
 - `fork` system call creates new process
 - `exec` system call used after a `fork` to replace the process' memory space with a new program



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How fork works?

```
pid_t fork(void);
```

- Allocates a new chunk of memory and data structures
- Copies the original process into the new process
- Adds the new process to the set of running processes
- Returns control back to both processes

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Fork Implementation

```

int main()
{
    Pid_t pid;
    /* fork another process */
    pid = fork();
    if (pid < 0) { /* error occurred */
        fprintf(stderr, "Fork Failed");
        exit(-1);
    }
    else if (pid == 0) { /* child process */
        execlp("/bin/ls", "ls", NULL);
    }
    else { /* parent process */
        /* parent will wait for the child to
        complete */
    }
}
  
```

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Fork Example 1

```

#include <stdio.h>

main()
{
    int ret_from_fork, mypid;

    mypid = getpid(); /* who am i? */
    printf("Before: my pid is %d\n", mypid); /* tell pid */

    ret_from_fork = fork();

    sleep(1);
    printf("After: my fork returns pid : %d, said %d\n",
           ret_from_fork, getpid());
}
  
```

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Fork Example 2

```

#include <stdio.h>

main()
{
    fork();
    fork();
    fork();
    printf("my pid is %d\n", getpid() );
}

How many lines of output will this produce?
  
```

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Writing a Shell v2.0

```

execute( char *arglist[] )
{
    int pid, exitstatus; /* of child */

    pid = fork(); /* make new process */
    switch( pid ){
        case -1:
            perror("fork failed");
            exit(1);
        case 0:
            execvp(arglist[0], arglist); /* do it */
            perror("execvp failed");
            exit(1);
        default:
            while( wait(&exitstatus) != pid )
                ;
            printf("child exited with status %d,%d\n",
                   exitstatus>>8, exitstatus&0377);
    }
}
  
```

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Exercise

Improve the Shell v2.0 by:

- Allow the user to type all the arguments on one line
- Allow the user to quit by typing exit

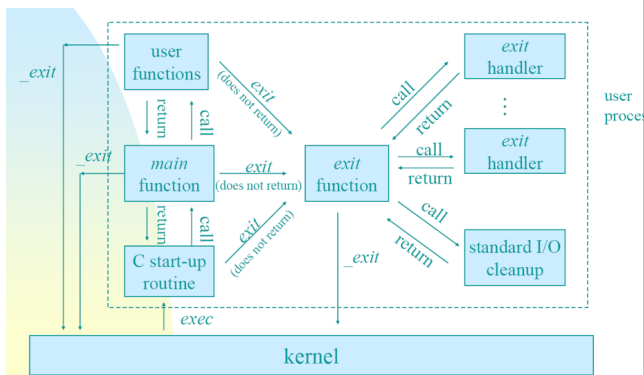
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Process Termination

- Five ways to terminate:
 - Normal Termination
 - Return from main()
 - Call exit()
 - Call _exit()
 - Abnormal termination
 - Call abort()
 - Be terminated by a signal

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Process Start and Termination



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Summary

- Unix Process Environment
 - Process Concept
 - `ps` -- get process info
 - Shell & its implementation
 - `Exec()` & `Fork()`
 - Creation & Termination of Processes
- Next Class: Process Control
- Try “fork” and “shell” examples



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Acknowledgments

- Advanced Programming in the Unix Environment by R. Stevens
- The C Programming Language by B. Kernighan and D. Ritchie
- Understanding Unix/Linux Programming by B. Molay
- Lecture notes from B. Molay (Harvard), T. Kuo (UT-Austin), G. Pierre (Vrije), M. Matthews (SC), and B. Knicki (WPI).

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